



AUDIT COMMITTEE

30TH OCTOBER 2018

AGENDA ITEM (10)

INTERNAL AUDIT MONITORING REPORT

Accountable Member	Audit Committee
Accountable Officer	Jenny Poole Chief Finance Officer 01285 623313 Jenny.Poole@publicagroup.uk

Purpose of Report	To present the Audit Committee with a summary of the activity undertaken by Internal Audit since the last Audit Committee
Recommendation(s)	That the report be noted.
Reason(s) for Recommendation(s)	The progress report enables the Audit Committee to monitor the work of the Internal Audit Service and ensure that it remains effective. It also provides the Committee with assurance opinions over areas reviewed within the reporting period, details of audit recommendations and the outcome of follow up reviews on previous audit recommendations

Ward(s) Affected	Not applicable.
Key Decision	No
Recommendation to Council	No

Financial Implications	As detailed within the report.
Legal and Human Rights Implications	As detailed within the report.
Environmental and Sustainability Implications	Not applicable.
Human Resource Implications	As detailed within the report
Key Risks	The weaknesses in the control framework, identified by the audit activity, continues to threaten organisational objectives if recommendations are not implemented.
Equalities Analysis	Not required

Related Decisions	None
Background Documents	Audit Reports
Appendices	Appendix 'A' - SWAP Report on Internal Audit Activity Plan Progress

Performance Management Follow Up	Performance is monitored by the Chief Finance Officer in accordance with the performance measures defined in the legal agreement.
---	---

Background Information

1. The Internal Audit Service is provided to this Council through South West Audit Partnership Internal Audit Services (SWAP). SWAP is a local authority-controlled company.
2. The SWAP report attached at **Appendix 'A'**, sets out the work undertaken by SWAP for the Council since the Committee's last Meeting. It follows the risk-based auditing principles and, therefore, this is an opportunity for the Committee to be aware of emerging issues which have resulted in SWAP involvement.
3. Officers from SWAP will be in attendance at the Committee Meeting and will be available to address Members' questions.

(END)